

Changes to Adviser Charges Form

Please note that in completing this form you are receiving advice from a Financial Adviser, who is acting on your behalf. This form should be used to amend any charges that you currently have with your Financial Adviser, or to put in place alternative Adviser charges.

Please return this form, via your Financial Adviser to Client Services Team, Trimbridge House, Trim St, Bath BA1 1HB. Should you have any questions, please contact your Client Services Team on 0345 076 6140.

Section 1 Client Details

Client Name

Account Number

Section 2 Financial Adviser Details

Firm Name

Registered Individual

FCA Firm Ref No

FCA Individual Reference Number

Section 3 Adviser Charges

Please select the relevant option by marking in the appropriate box below, if you would like to stop taking a particular charge please instruct this by adding **0** to the relevant percentage or fixed amount box:

1. Initial Adviser Charge

of investment

 %

&/or

fixed amount
(applicable per payment in)

 £

Applies to:

A. Cash Lump Sum

B. Cash Transfers

C. Stock Transfers

N.B. If you do not select your charge options, the percentage you have indicated will be applied to all boxes A to C.

2. Initial Adviser Charge for Regular Contributions

of investment

 %

Applies to:

A. Regular Contributions

N.B. This charge can be applied on regular contributions (Direct Debits or standing orders).

3. Ongoing Adviser Charge

of investment

 %

&/or

annual fixed amount

 £

Applies to:

A. Collectives
(incl. Investment Trusts, ETFs & FTDs)

B. Cash

C. Stocks and Shares

N.B. If you do not select your charge options, the percentage you have indicated will be applied to all boxes A to C.

N.B. Please read below for further information to support charge requests:

- The Initial Adviser Charge must be requested via the Online Payment Instruction screen.
- For Direct Debits, the Initial Adviser Charge for Regular Contributions must be requested via the Direct Debit Instruction form. Alternatively, for standing orders, the Initial Adviser Charge for Regular Contributions must be requested via the Online Payment Instruction screen.
- Ad hoc charges must be requested by completing an Ad Hoc Adviser Charge form, available on our website.
- All charges entered on this form will be stored on our system and will be used to validate all charge requests made by you or set up on your behalf.
- Ongoing Adviser Charges will be deducted monthly in arrears on a pro-rata basis.

Section 4 Financial Adviser Declaration

I have explained to my client the change in Financial Adviser charging arrangements as detailed in Section 3.

Authorised Signature (must be signed by the RI)

Section 5 Client Declaration

I/We confirm that I/We agree that my/our Financial Adviser has authorisation to deduct their charges as detailed in Section 3.

Name (1) (Block Capitals)

Signature

Date

 / /

Name (2) (Block Capitals)

Signature

Date

 / /

Name (3) (Block Capitals)

Signature

Date

 / /

Name (4) (Block Capitals)

Signature

Date

 / /